

PATENT
ATTORNEY DOCKET NO.: 044137-5025-US

STATEMENT CLAIMING SMALL ENTITY STATUS
37 C.F.R. §§1.9(f) and 1.27(d)-NONPROFIT ORGANIZATION

Applicant or Patentee: Alan Solomon et al.
Application or Patent No.: 09/316,387
Filed: May 21, 1999
Title: METHODS FOR AMYLOID REMOVAL USING ANTI-AMYLOID ANTIBODIES



I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION: University of Tennessee Research Corporation
ADDRESS OF NONPROFIT ORGANIZATION: 1534 White Avenue, Suite 403
Knoxville, TN 37996-1527, United States of America

TYPE OF NONPROFIT ORGANIZATION:

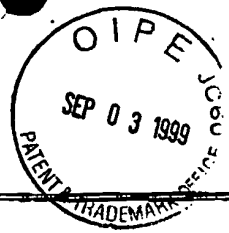
- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. §§501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF A STATE OF THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. §§501(a) and 501(c)(3)) IF LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF A STATE OF THE UNITED STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
(NAME OF STATE _____)
(CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e), for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention entitled scribed in:

METHODS FOR AMYLOID REMOVAL USING ANTI-AMYLOID ANTIBODIES

- ☐ the specification filed herewith with the title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that rights under contract or law have been conveyed to and remain with the nonprofit organization identified above with regard to the invention. If the rights held by the nonprofit organization above identified are not exclusive, each individual, concern or organization having rights in the invention is listed below and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 C.F.R. §1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).



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NAME:		
ADDRESS:		
<input type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> SMALL BUSINESS CONCERN	<input type="checkbox"/> NON PROFIT ORGANIZATION
NAME:		
ADDRESS:		
<input type="checkbox"/> INDIVIDUAL	<input type="checkbox"/> SMALL BUSINESS CONCERN	<input type="checkbox"/> NON PROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 C.F.R. §1.28(b)). *ajr*

NAME OF PERSON SIGNING: Ann J. Roberson
TITLE IN ORGANIZATION OF PERSON SIGNING: President
ADDRESS OF PERSON SIGNING: 1534 White Avenue, Suite 403
Knoxville, TN 37996-1527

SIGNATURE *Ann J. Roberson* DATE 8-30-99